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THE UNITED STATES PATENT AND TRADEMARK OFFICE
In re Application of Atty. Docket

AALBERT STEK ET AL

PHNL 000685

SERIAL NO.: 09/996,000

GROUP ART UNIT: 2653

FILED: November 16, 2001

EXAMINER: T.V. Tran

METHODS, OPTICAL RECORDING APPARATUS USING SUCH METHODS AND
OPTICAL RECORDING MEDIUM FOR USE BY THE METHODS AND THE
APPARATUS

Mail Stop: Petitions
Commissioner for Patents
Alexandria, VA 22313-1450

PETITION TO WITHDRAW HOLDING OF ABANDONMENT
BASED ON FAILURE TO REPLY TO NOTICE OF ALLOWANCE
UNDER 37 CFR §1.181(a)

Sir:


Applicants request that the Patent and Trademark Office
withdraw the Notice of Abandonment dated on May 12, 2006
(attached as Exhibit A) in this patent application for failure
to timely reply to the NOTICE OF ALLOWANCE mailed on December
5, 2005.

In the Notice, U.S. Patent Application No. 09/996,000 was
stated to be abandoned for failure to timely pay the issue
fee. Applicant timely sent the issue fee transmittal form PTOL
85B to the Patent Office, with a signature at the bottom
authorizing payment of the issue fee. Applicant received the
Auto-Reply Facsimile Transmission receipt for the issue fee
transmittal dated by the Patent Office on February 28, 2006.
(attached Exhibit B)

The Applicants have made a diligent and sincere effort to clearly set out the facts and circumstances surrounding this matter and firmly believe that a sufficient showing is made thereby for an immediate allowance of this Petition and notice to this effect is earnestly solicited.

Accordingly, it is requested that the Notice of Abandonment dated May 12, 2006 be withdrawn, the payment of the Issue Fee be entered, and the status of the above-identified application be changed from abandoned to pending.

Respectfully submitted,

By 
Edward W. Goodman, Reg. 28,613
Attorney
(914) 333-9611

Encl.:

Exhibit A: Copy of Notice of Abandonment dated
May 12, 2006

Exhibit B: Auto-Reply Facsimile Transmission receipt
dated February 28, 2006

CERTIFICATE OF MAILING

It is hereby certified that this correspondence is being deposited with
the United States Postal Service as first-class mail in an envelope addressed to:
COMMISSIONER FOR PATENTS
Alexandria, VA 22313

On May 24, 2006
By Chen Chapa



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/996,000	05/12/2006	Aalbert Stek	NL000685	2623

24737 7590 05/12/2006

PHILIPS INTELLECTUAL PROPERTY & STANDARDS
P.O. BOX 3001
BRIARCLIFF MANOR, NY 10510

EXAMINER

TRAN, THANG V

ART UNIT	PAPER NUMBER
2627	

DATE MAILED: 05/12/2006

Please find below and/or attached an Office communication concerning this application or proceeding.



Notice of Abandonment

Application No.

09/996,000

Examiner

TRAN, THANG V

Applicant(s)

Aalbert Stek

Art Unit

2627

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

This application is abandoned in view of:

1. ☐ Applicant's failure to timely file a proper reply to the Office letter mailed on _____.
 - (a) ☐ A reply was received on _____ (with a Certificate of Mailing or Transmission dated _____), which is after the expiration of the period for reply (including a total extension of time of _____ month(s)) which expired on _____.
 - (b) ☐ A proposed reply was received on _____, but it does not constitute a proper reply under 37 CFR 1.113 (a) to the final rejection.
(A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114).
 - (c) ☐ A reply was received on _____ but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the non-final rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in box 7 below).
 - (d) ☐ No reply has been received.
2. ☒ Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85).
 - (a) ☐ The issue fee and publication fee, if applicable, was received on _____ (with a Certificate of Mailing or Transmission dated _____), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85).
 - (b) ☐ The submitted fee of \$_____ is insufficient. A balance of \$_____ is due.
The issue fee required by 37 CFR 1.18 is \$_____. The publication fee, if required by 37 CFR 1.18(d), is \$_____.
 - (c) ☒ The issue fee and publication fee, if applicable, has not been received.
3. ☐ Applicant's failure to timely file corrected drawings as required by, and within the three-month period set in, the Notice of Allowability (PTO-37).
 - (a) ☐ Proposed corrected drawings were received on _____ (with a Certificate of Mailing or Transmission dated _____), which is after the expiration of the period for reply.
 - (b) ☐ No corrected drawings have been received.
4. ☐ The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants.
5. ☐ The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon the filing of a continuing application.
6. ☐ The decision by the Board of Patent Appeals and Interference rendered on _____ and because the period for seeking court review of the decision has expired and there are no allowed claims.
7. ☐ The reason(s) below:

AG

Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to minimize any negative effects on patent term.

Auto-Reply Facsimile Transmission



TO: Fax Sender at 914 332 0615

Fax Information
 Date Received: 2/28/2006 4:37:27 PM [Eastern Standard Time]
 Total Pages: 1 (including cover page)

ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

Received
 Cover
 Page
 =====>

Feb-28-2006 17:46 From: PHILIPS ELECTRONICS INC 914-332-0615 T-645 P.001/001 F-793

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 or Fax (571) 273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 3 should be completed where appropriate. All further correspondence (including the Patent Office orders and notification of examination fees) will be replied to the various correspondence address as indicated below or directed otherwise in Block 1, by (a) specifying a new correspondence address, or (b) indicating a separate "FEE ADDRESS" for maintenance fee payments.

PHILIPS INTELLECTUAL PROPERTY & STANDARDS
 P.O. BOX 3001
 BRACKLIFF MANOR, NY 10310

Confirmation of Mailing or Transmittal
 (I hereby certify that this Patent Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop 1450-1450 address above, or being otherwise transmitted to the USPTO (571) 273-2885, on the date indicated below.)

BURNETT JAMES (Transmitter's name)
Edward W. Goodman (Signature)
02/28/2006 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/994,000	11/16/2001	Author: Jack	NL000685	2623

TITLE OF INVENTION: METHODS, OPTICAL RECORDING APPARATUS USING SUCH METHODS AND OPTICAL RECORDING MEDIUM FOR USE BY THE METHODS AND THE APPARATUS

APPL. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$350	\$1750	02/06/2006

EXAMINER	ART UNIT	CLASS-SEC/CLASS
TRAN, TILANG V	3652	748-047530

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.33)

☐ Change of correspondence address (or Change of Correspondence Address from PTO/DA/112) attached.

☐ "Fee Address" indicated (or "Fee Address" Indication from PTO/DA/112 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively, LEONARD W. GOODMAN

(2) the names of a single firm (having as a member a registered attorney or agent) and the names of up to 3 registered patent attorneys or agents. If no names are listed, no names will be printed.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recording in the USPTO. Completion of this form is NOT a submission of the filing an assignment.

(A) NAME OF ASSIGNEE
KONINKLIJKE PHILIPS ELECTRONICS N.V.

(B) RESIDENCE (CITY AND STATE OR COUNTRY)
Eindhoven, The Netherlands

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee

☐ Publication Fee (No small entity discount permitted)

☐ Advance Order - # of Copies

4b. Payment of Fee(s):

☐ A check in the amount of the fee(s) is enclosed.

☐ Payment by credit card. Form PTO-3508 is attached.

☐ The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Debit Account Number 1461220 (attach an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.37. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(c)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTES: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown in the records of the United States Patent and Trademark Office.

Authorized Signatory: Edward W. Goodman Date: 2/10/2006

Typed or printed name: EDWARD W. GOODMAN Registration No.: 28,613

This collection of information is required by 37 CFR 1.31. The information is required to obtain or retain a benefit by the public which is in the file (and by the USPTO as provided) as application. Confidentiality is governed by 37 CFR 1.32. This collection is printed in only 13 subjects to complete, including searching, preparing, and submitting the completed application forms to the USPTO. There will vary depending upon the individual case. Any correspondence on the application should be sent to the Office of the Director, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEE OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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PTOL-41 (Rev. 07-03) Approved for use through 04/09/2007. OMB 0651-0033 U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

PAGE 01 * RCVD AT 2/28/2006 4:37:27 PM [Eastern Standard Time] * SVR:USPTO-EP-RP-441 * DNS:2732885 * CHID:914 332 0615 * DURATION (mm:ss):01:02

PC 2006

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
or Fax (571) 273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

24737 7590 12/05/2005

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P.O. BOX 3001
BRIARCLIFF MANOR, NY 10510



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

BURNETT JAMES	(Depositor's name)
<i>Burnett James</i>	(Signature)
02/28/2006	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/996,000	11/16/2001	Aalbert Stek	NL000685	2623

TITLE OF INVENTION: METHODS, OPTICAL RECORDING APPARATUS USING SUCH METHODS AND OPTICAL RECORDING MEDIUM FOR USE BY THE METHODS AND THE APPARATUS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	03/06/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
TRAN, THANG V	2653	369-047530

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 EDWARD W. GOODMAN

2 _____

3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

KONINKLIJKE PHILIPS
ELECTRONICS N.V.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Eindhoven, The Netherlands

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 14-1270 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Edward W. Goodman

Date

2/10/2006

Typed or printed name

EDWARD W. GOODMAN

Registration No.

28,613

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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